

VIA E-FILE
Form PTO-1449

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Applicant: Mark Lucovsky et al.

Serial No.: 10/003,750

Attorney Docket No.: 13768.198.1

Filing Date: October 22, 2001

Art Unit: 2152

Confirmation No.: 4906

For: IDENTITY-CENTRIC DATA ACCESS

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Sub Class</u>	<u>Class</u>	<u>Filing Date</u>
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U.S. Patent Documents (continued)

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U.S. Patent Application Documents

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_____ A36. Security requirements for web-services; Author Jerbic, M.; Report Title: Position papers for World Wide Web consortium (W3C) workshop on web services; pp. 28-33 Issued by: Hewlett-Packard Lab., Bristol, UK; Publication Date: 2001 Country of Publication: UK; 50 pp. (Herein after referred to as Article 2.)

_____ A37. Title: A role-based access control model for XML repositories; Author Hao He; Wong, R.K. Conference Title: Proceedings of the First International Conference on Web Information Systems Engineering; Part vol. 1 p. 138-45 vol. 1 Publication Date: 2000; Country of Publication: USA; 2 vol. (xv+498+xvi+219); pp. ISBN: 0769505775; Material Indentity Number: XX-2000-02089 Conference Title: Proceedings of WISE 2000: 1st International Converfence on Web Information Systems Engineering; Conference Dat: 19-21 June 2000 Conference Location: Hong Kong, China (Herein after referred to as Article 3.)

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_____ A40. Vuong et al.; "Managing Security Policies in a Distributed Environment using eXtensible Markup Language (XML)" Proceedings of the 2001 ACM Symposium on Applied Computing (SAC), March 11-14, 2001, Las Vegas, NV; pgs. 405-411. (Herein after referred to as Article 6.)

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- _____ A46. SOAP VERSION 1.2 Part 1: MESSAGING FRAMEWORK;
<http://www.w3.org/TR/2002/WD-soap12-part1-20011002>; November 30, 2001; 33 pgs. (Herein after referred to as Article 12.)
- _____ A47. SOAP VERSION 1.2 PART 2: ADJUNCTS; <http://www.w3.org/TR/2001/WD-soap12-part2-20011002>; October 30, 2001; 43 pgs. (Herein after referred to as Article 13.)
- _____ A48. WML PATH LANGUAGE (XPATH) VERSION 1.0;
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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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